

**PATENT COOPERATION TREATY**  
**PCT**  
**INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY**  
(Chapter II of the Patent Cooperation Treaty)  
(PCT Article 36 and Rule 70)

Applicant's or agent's file reference 21066PCT AWT:MM:KG	FOR FURTHER ACTION <span style="float: right;">See Form PCT/IPEA/416</span>	
International application No. <b>PCT/AU2004/000864</b>	International filing date ( <i>day/month/year</i> ) 30 June 2004	Priority date ( <i>day/month/year</i> ) 30 June 2003
International Patent Classification (IPC) or national classification and IPC  Int. Cl. <sup>7</sup> C12Q 1/68, C12M 1/34, G01N 33/48, B82B 3/00, G02B 26/02		
Applicant  RAUSTECH PTY LTD et al		

  

1.	This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.
2.	This REPORT consists of a total of 4 sheets, including this cover sheet.
3.	This report is also accompanied by ANNEXES, comprising: <div style="margin-left: 20px;"> a. <input checked="" type="checkbox"/> (sent to the applicant and to the International Bureau) a total of 5 sheets, as follows: <div style="margin-left: 20px;"> <input checked="" type="checkbox"/> sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions). <div style="margin-left: 20px;"> <input type="checkbox"/> sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box. </div> </div> </div>
b.	<input type="checkbox"/> (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)) , containing a sequence listing and/or table related thereto, in computer readable form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions).
4.	This report contains indications relating to the following items: <div style="margin-left: 20px;"> <input checked="" type="checkbox"/> Box No. I Basis of the report  <input type="checkbox"/> Box No. II Priority  <input type="checkbox"/> Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability  <input type="checkbox"/> Box No. IV Lack of unity of invention  <input checked="" type="checkbox"/> Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement  <input type="checkbox"/> Box No. VI Certain documents cited  <input type="checkbox"/> Box No. VII Certain defects in the international application  <input type="checkbox"/> Box No. VIII Certain observations on the international application </div>

  

Date of submission of the demand 29 April 2005	Date of completion of the report 10 May 2005
Name and mailing address of the IPEA/AU AUSTRALIAN PATENT OFFICE PO BOX 200, WODEN ACT 2606, AUSTRALIA E-mail address: pct@ipaaustralia.gov.au Facsimile No. (02) 6285 3929	Authorized Officer  <b>BAYER MITROVIC</b> Telephone No. (02) 6283 2164

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

PCT/AU2004/000864

Box No. I Basis of the report

10/562369

1. With regard to the language, this report is based on the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ This report is based on translations from the original language into the following language which is the language of a translation furnished for the purposes of:

☐ international search (under Rules 12.3 and 23.1 (b))

☐ publication of the international application (under Rule 12.4)

☐ international preliminary examination (under Rules 55.2 and/or 55.3)

2. With regard to the elements of the international application, this report is based on (replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report):

☐ the international application as originally filed/furnished

☒ the description:

pages 1-46 as originally filed/furnished

pages\* received by this Authority on with the letter of

pages\* received by this Authority on with the letter of

☒ the claims:

pages 47-52 as originally filed/furnished

pages\* as amended (together with any statement) under Article 19

pages 53-57 received by this Authority on 29 April 2005 with the letter of 29 April 2005

pages\* received by this Authority on with the letter of

☒ the drawings:

sheets 1/7-7/7 as originally filed/furnished

pages\* received by this Authority on with the letter of

pages\* received by this Authority on with the letter of

☐ a sequence listing and/or any related table(s) - see Supplemental Box Relating to Sequence Listing.

3. ☐ The amendments have resulted in the cancellation of:

☐ the description, pages

☐ the claims, Nos.

☐ the drawings, sheets/figs

☐ the sequence listing (specify):

☐ any table(s) related to the sequence listing (specify):

4. ☐ This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).

☐ the description, pages

☐ the claims, Nos.

☐ the drawings, sheets/figs

☐ the sequence listing (specify):

☐ any table(s) related to the sequence listing (specify):

\* If item 4 applies, some or all of those sheets may be marked "superseded."

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

PCT/AU2004/000864

**Box No. V** Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

## 1. Statement

Novelty (N)	Claims 1-51	YES
	Claims	NO
Inventive step (IS)	Claims 1-51	YES
	Claims	NO
Industrial applicability (IA)	Claims 1-51	YES
	Claims	NO

## 2. Citations and explanations (Rule 70.7)

The following documents identified in the International Search Report have been considered for the purposes of this report:

D1: US 2004/0055892

D2: WO2003/062456

D3: M. STEWARD ET AL. "NANOXEROGRAPHY: THE USE OF ELECTROSTATIC FORCES TO PATTERN NANOPARTICLES", Proceedings of the 2003 NSF Design Service and Manufacturing Grantees and Research Conference, Birmingham, Alabama, 6-9 January 2003, p.1-7.

D4: Derwent Abstract Accession No.92-154370/19, Class S06,

JP 04-086602 A (TOPPAN PRINTING CO LTD) 19 March 1992

Document D1 discloses the patterned electrophoretic deposition of nanostructure materials onto the substrate covered by mask. Electrode is attached to the areas not covered by mask and the direct (or alternating) field is applied onto the substrate causing the nanoparticles to migrate and attach themselves to the substrate. Nanoparticles are initially prepared in either true solution or in suspension, i.e. there is not disclosure of emulsion.

Document D2 discloses fabrication method chips and arrays for analytical (bio)chemistry applications. A multielectrode chip lithographed in wafer with a number of polarisable electrodes is put into contact with solution or suspension of colloidal carrier particles (such as nanoparticles) having biochemical recognition element attached. Typical recognition elements are molecular tweezers, enzymes, antibodies, receptors oligonucleotides, etc. Potential is briefly applied to selected electrodes and the recognition elements are selectively deposited onto them. Typical carrier particles included are: colloidal gold, glass, latex, polyurethane or unspecified polymers.

Document D3 discloses the concept of nanoxerography. A high resolution charge pattern is generated in the electret film to which nanoparticles in the solution or suspension are attracted. Particles are electrostatically assembled into a spatial pattern. The use of nanoxerography is suggested in the area of electron/photon devices, high-density data storage, protein recognition, DNA hybridisation etc.

**Supplemental Box**

In case the space in any of the preceding boxes is not sufficient.

Continuation of: V

Document D4 discloses the production of a colour filter by electrophotographic process. An electrostatic pattern is generated onto the electrophotographic sensitive body. A liquid developer, consisting of coloured resin dispersed in the carrier liquid, is then applied to produce desired pattern of pixels. Document does not specify the type of dispersion

In each of the documents D1-D4 particles are present either in the (true) solution or in the suspension. There is no disclosure of the use of emulsions, which are clearly different colloid systems from suspensions. This is valid well into the nanometre region.

**NOVELTY AND INVENTIVE STEP – CLAIMS 1-51**

In light of the above observation it is concluded:

The subject matter of claims 1-51 is new and meets the requirements of Article 33(2) PCT with regard to novelty.

The claimed invention is not obvious in the light of any of the cited documents nor is it disclosed in any obvious combination of them. It is also considered that it would not be obvious to a person skilled in the art in the light of common general knowledge either by itself or in combination with any of these documents. Therefore the subject matter of these claims meets the requirements of Article 33(3) PCT with regard to inventive step.

**INDUSTRIAL APPLICABILITY CLAIMS 1-51**

Invention defined in claims 1-51 is industrially applicable.